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# NOTICE OF ALLOWANCE AND FEE(S) DUE

909

7590

01/14/2002

PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD MCLEAN, VA 22102 BUI, HUNG S

ART UNIT CLASS-SUBCLASS

2841 361-794600

DATE MAILED: 01/14/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/529.597	05/31/2000	Motoo Asai	PM266966	2032

TITLE OF INVENTION: PACKAGE SUBSTRATE

TOTAL CLAIMS	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	NO	\$1280	\$0	\$1280	04/15/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



#### PART B - FEE(S) TRANSMITTAL

Complete and mail this form, together with applicable fee(s), to:

**Box ISSUE FEE** 

Assistant Commissioner for Patents

Washington, D.C. 20231

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: The certificate of mailing below can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 7590 01/14/2002 PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD Certificate of Mailing I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date MCLEAN, VA 22102 indicated below. (Depositor's name (Signature) (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/529 597 05/31/2000 Motoo Asai PM266966 2032 TITLE OF INVENTION: PACKAGE SUBSTRATE TOTAL CLAIMS APPLN. TYPE SMALL ENTITY **ISSUE FEE PUBLICATION FEE** TOTAL FEE(S) DUE DATE DUE NO \$1280 ዩበ \$1280 04/15/2002 nonprovisional **EXAMINER** CLASS-SUBCLASS ART UNIT BUI, HUNG S 2841 361-794000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required. 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached. is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): A check in the amount of the fee(s) is enclosed. ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. Publication Fee ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_(enclose an extra copy of this form). ☐ Advance Order - # of Copies The COMMISSIONER OF PATENTS AND TRADEMARKS is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary

depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, United States Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231

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# United States Patent and Trademark Office

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APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/529,597	05/31/2000	Motoo Asai	PM266966	2032		
909	7590 01/14/2002	01/14/2002		EXAMINER		
	WINTHROP LLP	[	BUI, HUNG S			
MCLEAN, VA			ART UNIT	PAPER NUMBER		
			2841			
			DATE MAILED: 01/14/2002			

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

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	Application No.	Applicant(s)	
Notice of Allowability	09/529,597	ASAI ET AL.	·
· ·	Examiner	Art Unit	
	Hung S Bui	2841	
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication GHTS. This application is subject to	plication. If not include will be mailed in due	ed course. THIS
1. This communication is responsive to <u>amendment filed on 1</u>	<u>2/13/01</u> .		
2. The allowed claim(s) is/are 1, 9 and 10 (renumbered 1-3).			
3. The drawings filed on 31 May 2000 are accepted by the Ex	caminer.		
4. ☐ Acknowledgment is made of a claim for foreign priority und a) ☐ All b) ☐ Some* c) ☐ None of the:			
1. Certified copies of the priority documents have	heen received		
Certified copies of the priority documents have  Certified copies of the priority documents have			
			tion from the
<ol> <li>Copies of the certified copies of the priority dod International Bureau (PCT Rule 17.2(a)).</li> </ol>	cuments have been received in this	national stage applica	uon irom tite
* Certified copies not received:			
5. Acknowledgment is made of a claim for domestic priority ur	nder 35 U.S.C. § 119(e) (to a provisi	ional application).	
(a)   The translation of the foreign language provisional a	pplication has been received.		
6. Acknowledgment is made of a claim for domestic priority ur	nder 35 U.S.C. §§ 120 and/or 121.		
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of the submitted of the submi	this application. THIS THREE-MO	NTH PERIOD IS NOT R'S AMENDMENT or I	EXTENDABLE.
8. CORRECTED DRAWINGS must be submitted.	., .		
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached			
1)  hereto or 2)  to Paper No			
(b) including changes required by the proposed drawing correction filed, which has been approved by the Examiner.			Examiner.
(c) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No.			
Identifying indicia such as the application number (see 37 CFR 1 of each sheet. The drawings should be filed as a separate paper	.84(c)) should be written on the drawi with a transmittal letter addressed to	ngs in the top margin ( the Official Draftspers	not the back) on.
9. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT FOR T	SIT OF BIOLOGICAL MATERIAL I HE DEPOSIT OF BIOLOGICAL MA	must be submitted. I	Note the
Attachment(s)			
1⊠ Notice of References Cited (PTO-892)	2 Notice of Inform	al Patent Application (	(PTO-152)
3 Notice of Draftperson's Patent Drawing Review (PTO-948)	4☐ Interview Summ	ary (PTO-413), Paper	
5 Information Disclosure Statements (PTO-1449), Paper No			•
7 Examiner's Comment Regarding Requirement for Deposit of Biological Material	8⊡ Examiner's Stat 9⊡ Other	ement of Reasons for	Allowance

Application/Control Number: 09/529,597

Art Unit: 2841

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# **EXAMINER'S AMENDMENT**

1. Claims 2-8 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made without traverse in Paper No. 15.

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Kenneth M. fagin on 01/04/2002.

# Cancel claims 2-8.

Claim 1, in line 3, "on *the* IC chip" should be corrected – on <u>an</u> IC chip -- and in line 4, "as on *the* other side surface" should be corrected – as on <u>an</u> other side surface-

Claim 9, in line 3, "on *the* IC chip" should be corrected – on <u>an</u> IC chip— and in line 4, "as on *the* other side surface" should be corrected – as on <u>an</u> other side surface-

Claim 10, in line 3, "on **the** IC chip" should be corrected – on <u>an</u> IC chip--, line 4, "as on **the** other side surface" should be corrected – as on <u>an</u> other side surface—and in line 8, " on a core board" should be corrected – on <u>the</u> core board--.

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3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hung S Bui whose telephone number is (703) 305-8024.

The examiner can normally be reached on Monday-Friday 8:30AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Gaffin can be reached on (703) 308-3301. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7722 for regular communications and (703) 305-7722 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-0956.

HB 1/4/02

Jayprakash N. Gandhi Primary Examiner Technology Center 2800